## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

Document 38

KEURIG, INCORPORATED,

Plaintiff,

v.

Civil Action No. 07-017-GMS

KRAFT FOODS GLOBAL, INC., TASSIMO CORPORATION, and KRAFT FOODS INC.,

Defendants.

### STIPULATED PROTECTIVE ORDER

The parties anticipate that discovery in this case will involve the disclosure of confidential or highly confidential information, including trade secrets and other confidential research, development, product, marketing, financial or commercial information, and therefore agree to the following Protective Order under Rule 26 of the Federal Rules of Civil Procedure.

- 1. Designation of Confidential Material.
- General. Any documents, materials, tangible things, items, testimony or (a) other information filed with the Court, or produced or provided by any party in this litigation or third party in connection with this litigation ("Producing Party" or "Designating Party") to a party in this litigation ("Receiving Party"), may be designated as "Confidential" or "Confidential - Attorneys' Eyes Only." All such information and material derived from it constitutes "Designated Material" under this Protective Order.
- (b) Confidential Designation. A Designating Party may designate as "Confidential" any document, material, item, deposition testimony, or information that is filed

with the Court or produced in discovery in this action and is or contains confidential technical, research, development, private, financial, or commercial information of the Designating Party.

- Confidential Attorneys' Eyes Only Designation. A Designating Party (c) may designate as "Confidential - Attorneys' Eyes Only" any document, material, item, deposition testimony, or information that is filed with the Court or produced in discovery in this action and is or contains highly confidential research, development, product, marketing, financial, commercial, or proprietary information, the disclosure of which, to persons other than those described in Paragraph 4 below, the Designating Party in good faith believes could be likely to harm the Designating Party's competitive position.
- (d) Designation Procedure. A party wishing to invoke the provisions of this Protective Order shall designate the documents, materials, items or information, or portions thereof, at the time such information is disclosed, or when the party seeking protection becomes aware of the nature of the information disclosed and sought to be protected. Designation shall be made by marking or stamping the documents, materials, items or information "Confidential" or "Confidential - Attorneys' Eyes Only" on all pages (or, if applicable, paragraphs). In the case of information stored on electronic media, the items produced shall be marked or stamped on the media if technically and economically feasible. In the case of information produced for inspection, but not yet provided to the inspecting party, such information shall presumptively be deemed "Confidential - Attorneys' Eyes Only," regardless of whether so identified, until copies thereof are produced to the inspecting party. The designation of "Confidential" or "Confidential" - Attorneys' Eyes Only" shall be understood to encompass not only the material expressly designated, but also any information developed therefrom, and all copies, recordings, abstracts, excerpts, analysis, and summaries thereof, as well as testimony and oral conversations derived

therefrom and related thereto. However, nothing in this Protective Order shall bar or otherwise restrict any outside counsel or in-house counsel from discussing this Action or rendering legal advice to his or her client with respect to this litigation and, in the course thereof, relying upon his or her examination of Confidential materials or Confidential - Attorneys' Eyes Only Material, provided that in rendering such advice or in other communication with his or client, such counsel shall not make any disclosure of "Confidential" materials or "Confidential - Attorneys' Eyes Only" material to any person not entitled to have access to it.

(e) Designation Procedure For Deposition Testimony. With respect to deposition testimony, the witness under deposition, or his/her counsel, or any counsel representing any person at the deposition, shall invoke the provisions of this Protective Order in a timely manner, giving adequate warning to all persons present that testimony about to be given or solicited is "Confidential" or "Confidential - Attorneys' Eyes Only." The provisions of this paragraph may be invoked with respect to the witness's entire deposition, or any portion thereof, at any time during the deposition. Counsel attending a deposition who inadvertently fails to designate any portion of the deposition transcript pursuant to this Protective Order on the record at the deposition shall have thirty (30) days following mailing of the transcript by the court reporter in which to correct the failure. Notice of such correction shall be made in writing to the reporter, with copies to all other counsel of record, designating the portion(s) of the transcript that contain confidential information, and directing that such portion(s) of the transcript be marked accordingly. Until such thirty (30) days after mailing of the transcript by the court reporter has passed, the entire transcript shall be treated as "Confidential - Attorneys' Eyes Only."

- 2. Use of Designated Material. Designated Material shall be used by a Receiving Party solely for purposes of this litigation, and not for another litigation, business, competitive, or governmental purpose or function, and such information shall not be disclosed to anyone except as provided under this Protective Order.
- 3. Access to Material Designated "Confidential." In the absence of express written permission from the producing party or an Order of this Court, material designated "Confidential" under this Protective Order, and copies, extracts, compilations, summaries and other materials that include or reflect such Designated Material, may be given, shown, made available, or communicated in any way only to those persons authorized to receive material designated "Confidential - Attorneys' Eyes Only" as set forth below, and up to three employees of the Parties, but subject to and only after compliance with the provisions of Paragraph 7 below. Material designated "Confidential" may be submitted to the Court only if submitted under seal in accordance with Paragraph 9 below.
- 4. Access to Material Designated "Confidential - Attorneys' Eyes Only." In the absence of express written permission from the Producing Party or an Order of this Court, material designated "Confidential - Attorneys' Eyes Only" under this Protective Order, and copies, extracts, compilations, summaries, and other materials that include or reflect such Designated Material, may be given, shown, made available, or communicated in any way only to:
- (a) outside litigation attorneys for the parties who are attorneys of record in this case and their staffs, including outside vendors that may be necessary for litigation support;
- (b) qualified persons taking testimony involving "Confidential - Attorneys" Eyes Only" information, and necessary stenographic and clerical personnel thereof;

- (c) the Receiving Party's independent consultants or experts and their staffs ("Experts"), who have been retained for the purpose of this Action by the Receiving Party, but subject to and only after compliance with the provisions of Paragraph 7 below, and with disclosure only to the extent necessary to perform such work;
- (d) any mediator retained by the parties jointly or appointed by the Court, provided that such mediator has a duty of confidentiality; and
  - (e) the Court and its staff.
- 5. Access to Designated Material. In addition, Designated Material (Confidential or Confidential -Attorneys' Eyes Only) may be shown to persons identified on the face of the material or the metadata as authors or recipients of the Designated Material during deposition or trial testimony and in the course of reviewing transcripts of such testimony with outside trial counsel, however, such authors and recipients shall not, without written approval from the Designating Party, remove copies of Designated Material from the location of their testimony or the office of the outside counsel assisting in the review of any transcript. In addition, such authors and recipients, may not, without written approval from the Designating Party, retain copies of any Designated Material or notes disclosing the contents of such Designated Material.
- 6. Prohibition To Assisting Or Participating In Patent Prosecution. During the pendency of this Action and for a period of one (1) year following its full final conclusion (including appeals) as to each party, any person who receives any "Confidential" or "Confidential Attorneys' Eyes Only" material shall not directly or indirectly assist or participate in the drafting, filing, or prosecution of any part of any patent application that claims priority to the patent-in-suit (U.S. Patent No. 6,607,762), or any parent, continuation, continuation-in-part, division, reissue, reexamination or foreign counterpart of the patent-in-suit.

This provision, however, does not limit or restrict Keurig's obligation to notify the United States Patent and Trademark Office ("USPTO") of the existence of this patent litigation, prior art cited during this Action, or any invalidity contention made by the defendants that would give rise to a duty to disclose information to the USPTO.

- 7. Clearance Procedure for Experts and Employees of the Parties. Designated Material may be provided to an independent Expert and up to three employees of the Parties only after ten (10) business days following written notice to the Designating Party of the proposed disclosure to the Expert or employee of a Party. With the written notice shall be included a fully executed copy of the Acknowledgement attached hereto as Exhibit A, completed by the Expert or employee of a Party. If the Designating Party objects, in writing, to disclosure of Designated Material to the Expert or employee of a Party within the ten (10) day period, no disclosure of Designated Material may be made to the Expert or employee of a Party. If the parties cannot resolve the issue, the party objecting to the proposed disclosure may thereupon seek an appropriate order from the Court disqualifying the Expert or protecting against the proposed disclosure to the Expert or employee of a Party. Until the Court rules on the matter, no disclosure of Designated Material to the Expert or employee of a Party shall be made.
- 8. Treatment of Designated Material. Copies of Designated Material may only be made where reasonably necessary to prepare work product or conduct proceedings in this litigation.
- 9. Filing of Designated Material with the Court. Where filed with the Court (as pleadings or evidence), Designated Material shall be delivered sealed to the Clerk of the Court and shall not be available to public inspection. Envelopes or containers used to seal such documents shall bear the notation, "THIS DOCUMENT CONTAINS CONFIDENTIAL

INFORMATION AND IS BEING SUBMITTED UNDER SEAL PURSUANT TO A PROTECTIVE ORDER" and shall state the party or person who designated any confidential information that the document contains. Where appropriate, the envelope or container shall bear the additional notation, "CONFIDENTIAL - ATTORNEYS' EYES ONLY." No such sealed envelope or container shall be provided to any individual not otherwise permitted to view the relevant Designated Material under this Protective Order.

- 10. Errors in Designation. A Producing Party that inadvertently fails to designate an item pursuant to this Protective Order at the time of the production shall make a correction promptly after becoming aware of such error. Such correction and notice thereof shall be made in writing accompanied by substitute copies of each item, appropriately designated. Those individuals who reviewed the documents or information prior to notice of the failure to designate by the Producing Party shall, to the extent reasonably feasible, return to the Producing Party or destroy all copies of such undesignated documents and shall honor the provisions of this Protective Order with respect to the use and disclosure of any confidential information contained in the undesignated documents, from and after the date of designation.
- 11. Improper Disclosure. If information designated pursuant to this Protective Order is disclosed to any person other than in the manner authorized by this Protective Order, the party responsible for this disclosure must immediately bring all pertinent facts relating to such disclosure to the attention of the Designating Party, without prejudice to other rights and remedies of the Designating Party, and shall make every effort to prevent further improper disclosure.
- 12. Objections to Designations. If at any time during the pendency of this litigation any party claims that information is not appropriately designated (the "Objecting Party"), the

Objecting Party may serve a captioned notice of objection on the Designating Party. Within ten(10) calendar days of receiving such notice, the Designating Party shall respond in writing. If the Designating Party and the Objecting Party cannot resolve the dispute by informal conference, the Objecting Party may move for an order from the Court for re-designation within ten (10) calendar days from service of the Designating Party's written response. The original designation shall remain effective until three (3) days after the Court's entry of an order re-designating the materials.

- 13. <u>Use of Designated Material at Trial</u>. If a party seeks to introduce Designated Material into evidence at trial, that party shall promptly inform the Court of the confidential nature of the information and request that only the jury, Court personnel, and those persons permitted access to such information under this Protective Order be present in the courtroom during the presentation of such evidence. The designation of trial transcripts shall be handled in the same manner as deposition transcripts unless the Court sets a different procedure for trial.
- 14. <u>Inadmissibility of Designation</u>. Unless the parties stipulate otherwise, evidence of the existence or nonexistence of a designation under this Protective Order shall not be admissible for any purpose, nor shall the designation or acceptance of any information designated pursuant to this Protective Order constitute an admission or acknowledgement that the material so designated is in fact proprietary, confidential or a trade secret.
- 15. <u>Inadvertent Production</u>. Counsel shall make their best efforts to identify materials protected by the attorney-client privilege or the work product doctrine prior to the disclosure of any such materials. The inadvertent production of any document or thing shall be without prejudice to any claim that such material is protected by the attorney-client privilege or protected from discovery as work product and no Producing Party shall be held to have waived any rights

hereunder by inadvertent production. If a Producing Party discovers that materials protected byte attorney-client privilege or work product doctrine have been inadvertently produced, counsel for the Producing Party shall promptly give written notice to counsel for the Receiving Party. The Receiving Party shall take prompt steps to ensure that all known copies of such material are returned to the Producing Party. The Receiving Party may afterward contest such claims of privilege or work product as if the materials had not been produced, but shall not assert that a waiver occurred as a result of the production.

- Final Disposition of Designated Material. Upon termination of this litigation 16. following settlement or final judgment (including exhaustion of all appeals), the originals and all copies of Designated Material shall be either destroyed or turned over to the Producing Party, or to its counsel, within sixty (60) days. However, attorneys of record may retain pleadings, attorney and consultant work product, and depositions (with exhibits) for archival purposes. If Designated Material is destroyed pursuant to this Paragraph, counsel shall provide to opposing counsel a certification identifying when and how the destruction was performed.
- 17. <u>Survival</u>. The terms of this Protective Order shall survive termination of this litigation.

065927.1001 DB02:6151921.1

DATED: July 31, 2007

### Karen E. Keller Richard L. Horwitz Richard L. Horwitz (No. 2246) John W. Shaw (No. 3362) Kenneth L. Dorsney (No. 3726) Karen E. Keller (No. 4489) POTTER ANDERSON & CORROON L.L.P. YOUNG CONAWAY STARGATT & Hercules Plaza, 6th Floor TAYLOR, LLP 1313 North Market Street The Brandywine Building Wilmington, DE 19801 1000 West Street, 17th Floor 302-984-6000 Wilmington, DE 19801 rhorwitz@potteranderson.com 302-571-6600 kkeller@ycst.com Michael A. Albert David M. Schlitz Michael N. Rader William S. Foster, Jr. Laura Topper BAKER BOTTS L.L.P. WOLF, GREENFIELD & SACKS, P.C. 1299 Pennsylvania Avenue, N.W. Washington, D.C. 20004 600 Atlantic A venue 202-639-7700 Boston, MA 02210 617-646-8000 Attorneys for Tassimo Corporation, Kraft Attorneys for Plaintiff Foods Global, Inc. and Kraft Foods Inc. Keurig, Incorporated **SO ORDERED:** DATED: , 2007

DB02:6151921.1 065927.1001

United States District Court Judge

# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

Civil Action No. 07-017-GMS

#### **ACKNOWLEDGEMENT**

I,	, declare as follows:
1.	My present employer is
2.	My business address is
3.	My occupation is
4.	In the past 12 months, I have consulted and/or served as an expert for the
following co	mpanies (attach additional sheets if necessary):
5	I have reviewed a conv of the Protective Order in this action, and Lunderstand a

- 5. I have reviewed a copy of the Protective Order in this action, and I understand and agree to be bound by its terms and provisions.
- 6. I will hold in confidence, will not disclose to anyone not qualified or cleared under the Protective Order, and will use only for approved purposes in this litigation, any "Confidential" or "Confidential Attorneys' Eyes Only" information which is disclosed to me.

- 7. I will return all "Confidential" or "Confidential Attorneys' Eyes Only" information which comes into my possession, and all documents or things which I prepare relating thereto, to outside litigation counsel for the party by whom I am employed or retained.
- 8. I hereby submit myself to the jurisdiction of the United States District Court for the District of Delaware for the purpose of enforcement of the Protective Order in this litigation.
- 9. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

DATED:	 			